

Regular Correspondence:  
195 North Harbor Drive, Suite 5403, Chicago Illinois 60601-7542

Docketed Correspondence:  
Post Office Box 7131, Chicago Illinois 60680-7131

**Peter K. Trzyna, Esq.**

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pkt-law@sbcglobal.net

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MAR 06 2007

**Fax**

**To:** MS: No Fee Amendment  
Art Unit 3624

**Re:** 10/816,014 Revocation of Power of  
Attorney with New Power of Attorney and  
Change of Correspondence Address

**Firm:** United States Patent and Trademark Office

**Date / Time:** March 6, 2007

**Street Address:**

**Phone:**

**City, State Zip:** Alexandria, VA 22313

**Fax:** (571) 273-8300

**cc:**

**No. of Pages:** 12 (including cover)

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**Message:**

I hereby certify that this correspondence is being filed by facsimile and is addressed to MS: No Fee Amendment, The Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: March 6, 2007

Signed:   
Peter K. Trzyzna (Reg. No. 32,601)

PATENT

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Paper No.

MAR 06 2007

File: Ryan -P1-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

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MS: No Fee Amendment  
The Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Transmitted herewith for filing in the above-identified patent application are the following:

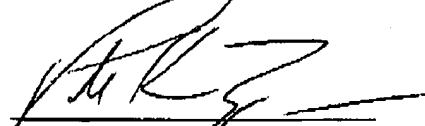
1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;
2. Notice of Recordation recorded at Reel 017295, Frame 0307; and
3. Statement Under 37 CFR 3.73(b).

Applicant claims **small entity status**. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

10/816,014

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyzna  
(Reg. No. 32,601)

Date: March 6, 2007

P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824

PTO/SB/82 (01-06)  
Approved for use through 12/31/2008. OMB 0851-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
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<b>REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	10/816,014
	Filing Date	April 1, 2004
	First Named Inventor	RYAN, Raymond B.
	Art Unit	3624
	Examiner Name	Unknown
	Attorney Docket Number	Ryan-P1-04

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MAR 06 2007

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 28710

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number: 28710

OR

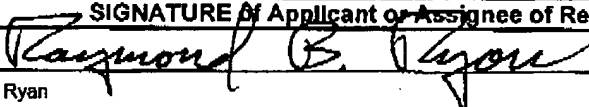
<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature			
Name	Raymond B. Ryan		
Date	Feb. 20, 2007	Telephone	(203) 202-2144

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

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MAR 06 2007

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 14, 2006

PTAS

\*700245050A\*

\*700245050A\*

PETER K. TRZYNA, ESQ.  
P.O. BOX 7131  
CHICAGO IL 60680-7131

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/20/2006

REEL/FRAME: 017295/0307  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ENGEL, WENDY J.

DOC DATE: 12/20/2005

ASSIGNEE:

RYAN, RAYMOND B.  
58 RINGS END ROAD  
DARIEN, CONNECTICUT 06820

SERIAL NUMBER: 10816014

FILING DATE: 04/01/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

017295/0307 PAGE 2

STEVEN POST, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

I hereby certify that this paper or fee is being filed by facsimile, and is addressed to MS: Recordation Division, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

Date: February 20, 2006

Signed:   
Peter K. Trzyna (Reg. No. 32,001)

PATENT

Paper No.

File: Ryan -P1-04

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MAR 06 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

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MS: Recordation Division  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

SIR:

Transmitted herewith for filing in the above-identified patent application is the following:

1. Assignment; and
2. Recordation Cover Sheet.

**APPLICANT CLAIMS SMALL ENTITY STATUS.** The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.


10/816,014

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: February 20, 2006

P.O. Box 7131  
Chicago, IL 60680  
(312) 240-0824

  
Peter K. Trzyna  
(Reg. No. 32,601)



700245050

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2006)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
<b>1. Name of conveying party(ies)</b> ENGEL, Wendy J., Norwalk, CT 06850  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>Raymond B. Ryan</u> Internal Address: _____  Street Address: <u>56 Rings End Road</u>  City: <u>Darien</u> State: <u>Connecticut</u> Country: <u>USA</u> Zip: <u>06820</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>December 20, 2005</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>10218,014</u>  B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Peter K. Trzyna, Esq.</u> Internal Address: _____  Street Address: <u>P.O. Box 7131</u>  City: <u>Chicago</u> State: <u>Illinois</u> Zip: <u>60680-7131</u> Phone Number: <u>(312) 240-0824</u> Fax Number: <u>(312) 240-0825</u> Email Address: _____	<b>6. Total number of applications and patents involved:</b> <u>1</u>  <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> <u>\$40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)  <b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>50-0235</u> Authorized User Name <u>Peter K. Trzyna, Esq.</u>
<b>9. Signature:</b> <u>Peter K. Trzyna, Esq.</u> <span style="float: right;">February 20, 2006</span> <div style="text-align: center; margin-top: 10px;">                           Signature                     </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <span>Name of Person Signing</span> <div style="border: 1px solid black; padding: 2px; text-align: center;">                             Total number of pages including cover sheet, attachments, and documents: <b>6</b> </div> </div>	

Documents to be recorded (including cover sheet) should be filed to [571] 273-6140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA. 22313-1460

CH 540.00 500235 10018014

### ASSIGNMENT

WHEREAS, Raymond B. Ryan is the named inventor for U.S. Patent Application Ser. No. 10/816,014, titled "Equity Based Incentive Compensation Plan Computer System" and filed April 1, 2004;

WHEREAS, Wendy J. Engel and Raymond B. Ryan are the named inventors for U.S. Patent Application No. 10/375,770, titled "System and Method to Minimize Accounting Volatility From Owning Equities and Other Investment Assets" and filed February 27, 2003;

WHEREAS, Wendy J. Engel and Raymond B. Ryan wish to pursue separate businesses and to facilitate so doing, hereby enter this agreement to assign ("Agreement") as set forth below their interests and/or claims to the above named U.S. patent applications.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by Wendy J. Engel from Raymond B. Ryan is hereby acknowledged for a first assignment, and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by Raymond B. Ryan from Wendy J. Engel is hereby acknowledged for a second assignment, Wendy J. Engel and Raymond B. Ryan agree as follows:

Raymond B. Ryan quit claims and by these presents does hereby quit claim any and all right he, his successors and assigns, may have, including the right to sue and collect for past damages, in and to U.S. Application No. 10/375,770. This quit claim is to Wendy J. Engel as described above as the first assignment.

Wendy J. Engel quit claims and by these presents does hereby quit claim any and all right she, her successors and assigns, may have, including the right to sue and collect for past damages, in and to U.S. Application No. 10/816,014. This quit claim is to Raymond B. Ryan as described above as the second assignment.

Both of the above quit claims respectively constitute a sale, assignment, transfer, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the other, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified respective patent applications and any and all rights thereto, including the above-identified respective patent applications, any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations,

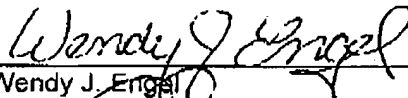
divisions, renewals, and substitutes thereof, as well as any reissues or reexamination patent(s); and each hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said respective inventions to the corresponding assignee its successors, and assigns, in accordance with the terms of this instrument.

Each assignor agrees to communicate to the respective assignee, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the respective assignee, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection in accordance with this agreement.

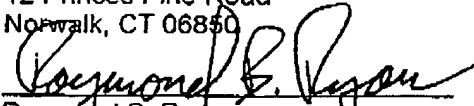
The effective date of this Assignment is July 18, 2005.

IN TESTIMONY WHEREOF, the Grantors of the Quit Claims and the Assignors have executed this agreement.

DATED: Dec. 20, 2005

  
Wendy J. Engel  
12 Princes Pine Road  
Norwalk, CT 06850

DATED: August 16, 2005

  
Raymond B. Ryan  
58 Rings End Road  
Darien, CT 06820

PTO/SB/96 (09-06)

Approved for use through 03/31/2007. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Raymond B. Ryan

Application No./Patent No.: 10/816,014 Filed/Issue Date: April 1, 2004

Entitled: EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM

Raymond B. Ryan, a Individual  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017295, Frame 0307, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Raymond B. Ryan  
Signature

2-20-2007  
Date

Raymond B. Ryan  
Printed or Typed Name

(203) 202-2144  
Telephone Number

Assignee  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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